#4  
"PATENT"

## AMENDMENT TRANSMITTAL FORM

In re application of: Lisa S. Boffa, et al.

U. S. Serial No. 09/941,881

Filed: August 28, 2001

For: MULTI-DENTATE LATE TRANSITION METAL  
CATALYST COMPLEXES AND POLYMERIZATION  
METHODS USING THOSE COMPLEXES) Before the Examiner  
) Not Yet Assigned  
)  
) Group Art Unit 1755  
)  
)COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Sir:

- ☒ The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on October 23, 2001.

Transmittal herewith is an amendment/response in the above-identified application.

Petition for extension of time pursuant to 37 CFR 1.136 and 1.137 is hereby made, if and to the extent, required. The fee for this extension of time is calculated to be \$ \_\_\_\_\_ to extend the time for filing this response until \_\_\_\_\_.

The fee for any changes in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5) Present Extra	(6) Rate	(7)
Total Claims	*	Minus	**		x 18.00	
Indep. Claims	*	Minus	***		x 84.00	
MULTIPLE DEPENDENT CLAIM FEE					\$280.00	
FEE FOR CLAIM CHANGES						

\* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this INFORMATION DISCLOSURE STATEMENT, including claim changes and any extension of time is calculated to be \$ 0.

- ☒ Charge \$ 0 to Deposit Account No. 05-1330.

- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 05-1330. A duplicate copy of this Form is enclosed.

October 23, 2001  
Date of Signature  
JOSEPH C. WANG

Post Office Address: [to which correspondence is to be sent]  
ExxonMobil Research and Engineering Company  
(formerly Exxon Research and Engineering Company)  
P. O. Box 900  
Annandale, New Jersey 08801-0900

Telephone No. 908-730-3665

Registration No. 44,391

- ☒ Pursuant to 37 CFR 1.34(a)



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PATENT TRADEMARK OFFICE



AMENDMENT TRANSMITTAL FORM

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Re: application of: Lisa S. Boffa, et al.  
U. S. Patent No.: 09/941,881  
Filed: August 28, 2001  
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) Batch Number  
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COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

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\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this SUBMISSION OF DECLARATION, including claim changes and any extension of time is calculated to be \$ 130.00.

☒ Charge \$ 130.00 to Deposit Account No. 05-1330.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to Deposit Account No. 05-1330.  
A duplicate copy of this Form is enclosed.

October 23, 2001  
Date of Signature

Post Office Address: [to which correspondence is to be sent]

ExxonMobil Research and Engineering Company  
(formerly Exxon Research and Engineering Company)  
P. O. Box 900  
Annandale, New Jersey 08801-0900

Joseph C. Wang  
Attorney or Agent of Record

JOSEPH C. WANG

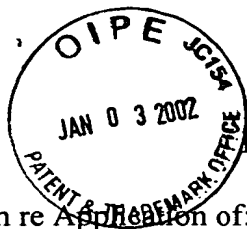
Registration No. 44,391

☒ Pursuant to 37 CFR 1.34(a)



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Lisa S. Boffa, et al

U. S. Serial No. 09/941,881

Filed: August 28, 2001

MULTI-DENTATE LATE TRANSITION METAL  
CATALYST COMPLEXES AND  
POLYMERIZATION METHODS USING THOSE  
COMPLEXES

)  
) Before The Examiner  
) Not Yet Assigned  
)  
)  
) Group Art Unit 1755  
)  
)  
)

Commissioner for Patents

Washington, DC 20231


Sir:

SUBMISSION OF DECLARATION

Attached hereto please find a Declaration for the patent application referenced above, which is being submitted in response to your Notice to File Missing Parts dated October 2, 2001.

The Commissioner is hereby authorized to charge any additional fees to Deposit Account Number 05-1330.

Respectfully submitted,

  
Joseph C. Wang  
Attorney for Applicants  
Registration No. 44,391  
Telephone No. (908) 730-3665

ExxonMobil Research and Engineering Company  
(formerly Exxon Research and Engineering Company)  
P. O. Box 900  
Annandale, New Jersey 08801-0900

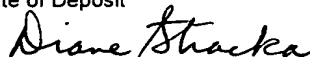
JCW:dws  
10/22/01

I hereby certify that I have a reasonable basis for believing that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on October 23, 2001.

Date of Deposit

Diane Stracka

Name of attorney or agent



Signature

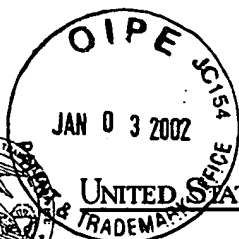
10/23/01

Date of Signature



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/941,881	08/28/2001	Lisa S. Boffa	JCW-0102

CONFIRMATION NO. 7839

27810  
EXXONMOBIL RESEARCH AND ENGINEERING COMPANY  
P.O. BOX 900  
1545 ROUTE 22 EAST  
ANNANDALE, NJ 08801-0900

## FORMALITIES LETTER



\*OC000000006827437\*

Date Mailed: 10/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/07/2002 ANABI1 00000079 051330 09941881

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